



SCHEDULE OF PROPOSED CHANGES TO THE DESIGNATED PROFESSIONAL BODY (INVESTMENT BUSINESS) HANDBOOK

Effective from 1 April 2026

	Reference in Regulations	Change
1	Cover sheet	Update of revised effective date
2	Introduction – paragraph 17	Added recent amendments to the list of amendments made to the Handbook since 2004
3	Part 2: 2.07(m)	Minimum PII amounts updated for firms undertaking insurance related activities to reflect FCA Handbook requirements for insurance intermediaries
4	Part 2: 2.07(n)	Moved from 2.07(m)
5	Part 2: 2.07 guidance	Updated to reflect revised limits in 2.07(m)
6	Part 2: para 3	Minimum PII amounts updated to align with change at 2.07(m) described above.
7	Part 7: 7.03	7.03 now applies to ICAEW and Chartered Accountants Ireland only (not ICAS) – this reflects a change in ICAS regulatory processes
8	Part 7: 7.03A	New 7.03A applies to ICAS – this reflects a change in ICAS regulatory processes
9	Part 7: 7.04 -7.07	References to Panel (defined term) extended to also include the ICAS licensing committee (reflects change in ICAS regulatory processes)
10	Part 9: Interpretation (Address for ICAEW)	Remove 'professional' from 'Professional Conduct' in ICAEW address – this reflects 2023 change in ICAEW disciplinary processes.
11	Part 9: Definition of Panel	Updated to remove reference to ICAS in line with changes in ICAS regulatory processes
12	Part 9: Definition of PII Regulations	Updated to reflect updated chapter reference in Chartered Accountants Ireland's Public Practice Regulations and name of ICAS regulations