



# ***Consequential changes to regulations resulting from the ICAEW's new disciplinary framework***

## **REGULATIONS AND GUIDANCE THAT WILL BE UPDATED**

Small consequential changes to incorporate new terminology, committee names and references to the old Disciplinary Bye-laws are being made to other regulations and guidance:

- UK Audit Regulations
- Code of Conduct for Complainants
- ICAEW Code of Ethics
- Crown Dependencies Audit Rules & Guidance
- DPB (Consumer Credit) Handbook
- DPB (Investment Business) Handbook
- Guidance on Sanctions
- Guidance on your duty to report misconduct as an ICAEW member
- Insolvency Regulations and Guidance Notes
- IRB Terms of Reference
- Licensed Practice Scheme Handbook
- Local Audit Regulations
- Practice Assurance Regulations
- ICAEW Principal Bye-laws
- Probate Regulations
- Probate Compensation Scheme Regulations
- Professional Indemnity Insurance Regulations
- The duty on firms to investigate complaints
- The Investment Business Compensation Scheme Regulations
- Use of Description Regulations

## **OBSOLETE REGULATIONS AND GUIDANCE**

Some regulations will be archived from 1 June 2023 because they have been incorporated in to the new Investigation and Disciplinary Regulations.

They may still be relevant for cases which were begun before 1 June 2023. [Find out more](#)

These regulations are the:

- Appeal Committee Regulations
- Disciplinary Committee Regulations
- Fitness Committee Regulations
- Interim Orders Regulations
- Investigation Committee Regulations
- Regulations relating to membership cessation, re-admission and resignation
- Review Committee Regulations
- Settlement Order Regulations