

Consequential changes to regulations resulting from the ICAEW's new disciplinary framework

REGULATIONS AND GUIDANCE THAT WILL BE UPDATED

Small consequential changes to incorporate new terminology, committee names and references to the old Disciplinary Bye-laws are being made to other regulations and guidance:

- UK Audit Regulations
- Code of Conduct for Complainants
- ICAEW Code of Ethics (the updated Code will be published shortly)
- Crown Dependencies Audit Rules & Guidance
- DPB (Consumer Credit) Handbook
- DPB (Investment Business) Handbook
- Foundation Qualification Regulations (The updated Regulations will be published shortly.)
- Guidance for notices of resignation and applications for readmission
- Guidance on Sanctions
- Guidance on your duty to report misconduct
- Insolvency Regulations and Guidance Notes
- IRB Terms of Reference
- Licensed Practice Scheme Handbook
- Local Audit Regulations
- Practice Assurance Regulations
- ICAEW Principal Bye-laws
- Probate Regulations (now the Legal Services Regulations)
- Probate Compensation Scheme Regulations (The updated Regulations will be published shortly. They will be called the Legal Services Compensation Scheme Regulations.)
- Professional Indemnity Insurance Regulations
- Regulations relating to membership cessation, re-admission and resignation
- The duty on firms to investigate complaints
- The Investment Business Compensation Scheme Regulations
- Use of Description Regulations

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OBSOLETE REGULATIONS AND GUIDANCE

Some regulations will be archived from 1 June 2023 because they have been incorporated in to the new Investigation and Disciplinary Regulations.

They may still be relevant for cases which were begun before 1 June 2023. Find out more These regulations are the:

- Appeal Committee Regulations
- Disciplinary Committee Regulations
- Fitness Committee Regulations
- Interim Orders Regulations
- Investigation Committee Regulations
- Regulations relating to membership cessation, re-admission and resignation
- Review Committee Regulations
- Settlement Order Regulations

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