# Crown Dependencies - Audit registration

Please use the TAB key to move from one answer to the next.

|  |  |  |
| --- | --- | --- |
| Firm name |  | |
| Firm number |  | |
| Crown Dependency of registration  one box only  If the firm is registered in more than one Crown Dependency, please complete one form for each | Jersey |  |
| Guernsey |  |
| Isle of Man |  |

## Please answer the questions below

|  |  |  |  |
| --- | --- | --- | --- |
| Please give details of registrations as auditor in other jurisdictions where your firm can audit entities incorporated in that jurisdiction. | Jurisdiction | Regulator | Registration number |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Does the firm belong to a network? | Yes |  | No |  |
| If ‘Yes’, please give name of network |  | | | |

## Crown Dependency incorporated EU market traded audit clients

Please complete one table for each EU market traded Crown Dependency incorporated company audited by the firm

|  |  |
| --- | --- |
| Client 1 | |
| Name of client |  |
| Name of regulated market(s) where traded |  |
| Principal activity |  |
| In respect of the last completed audit, please give: | |
| Year-end |  |
| Date of last audit report |  |
| Turnover |  |
| Net assets |  |
| Accounting standards used |  |
| Auditing standards used |  |
| Location of audit working papers |  |
| Language of audit working papers (if not English) |  |
| Location of responsible individual (if not same as working papers) |  |
| Nature of business |  |

|  |  |
| --- | --- |
| Client 2 | |
| Name of client |  |
| Name of regulated market(s) where traded |  |
| Principal activity |  |
| In respect of the last completed audit, please give: | |
| Year-end |  |
| Date of last audit report |  |
| Turnover |  |
| Net assets |  |
| Accounting standards used |  |
| Auditing standards used |  |
| Location of audit working papers |  |
| Language of audit working papers (if not English) |  |
| Location of responsible individual (if not same as working papers) |  |
| Nature of business |  |

|  |  |
| --- | --- |
| Client 3 | |
| Name of client |  |
| Name of regulated market(s) where traded |  |
| Principal activity |  |
| In respect of the last completed audit, please give: | |
| Year-end |  |
| Date of last audit report |  |
| Turnover |  |
| Net assets |  |
| Accounting standards used |  |
| Auditing standards used |  |
| Location of audit working papers |  |
| Language of audit working papers (if not English) |  |
| Location of responsible individual (if not same as working papers) |  |
| Nature of business |  |

If the firm has more than three EU market traded Crown Dependency incorporated companies as clients, please copy and paste the table so that you complete one table for each client.

Number of market traded companies that you have provided details for

|  |  |
| --- | --- |
| Signature of audit compliance principal  (this can be a scanned signature) |  |
| Name in capitals |  |
| Date |  |

Please send your completed questionnaire to:

Regulatory Support

Professional Standards

ICAEW

Metropolitan House

321 Avebury Boulevard

Milton Keynes

MK9 2FZ or email it to regulatory.support@icaew.com

**Padlock Symbol Using your personal information**

We will treat any personal information collected on this form in accordance with data protection legislation. We will use your information to carry out our responsibilities as a regulator and as a professional body. To do this, we will share your information with other organisations as required by law.

We may transfer your information outside the European Economic Area (EEA) eg, to one of our offices. These countries may not have similar data protection laws to the EEA, so if we do transfer your information we will take the necessary steps to ensure that your privacy rights are still protected.