

# *Ownership and control*

Version: December 2019



## **APPLYING FOR SUPERVISION UNDER ICAEW'S PRACTICE ASSURANCE SCHEME**

### **WHEN TO USE THIS FORM**

Please use this form if you want to apply for supervision in accordance with the Money Laundering, Terrorist Financing and Transfer of Funds (information on the Payer) Regulations 2017 (amended) (MLR17) and:

- your firm already has a C00 number; and
- you have completed an annual return in the last 12 months.



## A FIRM DETAILS

A.1 Firm name

A.2 Firm number **C00**

## B CONTROL

Please provide details of the individuals, or entities, which hold the voting rights in the entity applying for supervision.

If the entity applying for supervision is part of a group, you should give the names of the companies holding the shares in the entity applying, rather than the name of the individual who has 'effective' control through a group structure. In this circumstance, please also provide full details of the group structure including the ultimate beneficial owners, on a separate page.

Name of LLP members, partners or shareholders	% voting rights	For shareholders, please give:	
		Number of shares	Nominal value

## C MONEY LAUNDERING, TERRORIST FINANCING AND TRANSFER OF FUNDS (INFORMATION ON THE PAYER) REGULATIONS 2017 (AMENDED) (MLR17)

Has your firm previously been registered for supervision under MLR 17?      YES      NO

If 'Yes' please give:

- previous MLR registration number
- previous MLR supervisory body

Please send your signed and completed form, along with a separate Fit and Proper Declaration completed by every: principal; money laundering reporting officer; and shareholder, who is not an ICAEW member or affiliate to:

Quality Assurance Department (AMLR)  
ICAEW  
Metropolitan House  
321 Avebury Boulevard  
Milton Keynes  
MK9 2FZ

### USING YOUR PERSONAL INFORMATION

We will treat your personal information in accordance with data protection legislation. We will use your information to carry out our responsibilities as a regulator and as a professional body. We may, either as required by law or to carry out those responsibilities, share your personal information to comply with the requirements of government departments, agencies and regulators. Where necessary, we may transfer your information to one of our offices in countries outside the European Economic Area (EEA). These countries may not have similar data protection laws to the EEA so, if we do transfer your information, we will take the necessary steps to ensure that your privacy rights are still protected. For more information about our data protection policy, please go to [icaew.com/dataprotection](https://www.icaew.com/dataprotection)