## firm-wide risk assessment

### Profile of firm

* [*insert details of your firm here*].

**Identifying and assessing risks**

|  |  |  |  |
| --- | --- | --- | --- |
| Risk factor | Description | Assessment of risk | Mitigating actions |
| Clients |  |  |  |
| Services |  |  |  |
| Geography |  |  |  |
| Transactions |  |  |  |
| Transactions |  |  |  |
| Delivery channels |  |  |  |
| Other |  |  |  |

**Action plan**

|  |  |  |
| --- | --- | --- |
| Actions | Delivery date | Owner |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

Signed: [ ]

Dated: [ ]

Next review due: [ ]