# Schedule of amendments

## Investigation Committee Regulations

##  – 1 June 2018

|  |  |
| --- | --- |
| Regulations | Amendment |
| 1 | Commencement date has been updated |
| 2 | New definitions inserted for ‘committee’ and ‘Disciplinary Bye-laws’; amendments to the definitions of ‘PCD director’ and ‘ICAEW’. |
| 3 | Reference to ‘director’ has been replaced with ‘PCD director’ for completeness. |
| 4 | References to ‘chairman’ in this and subsequent regulations have been replaced with ‘chair’ to make the regulations gender neutral; similarly, the male pronoun has been replaced by ‘he / she’ etc. |
| 5 | References to ‘member’ in this and subsequent regulations have been replaced with ‘respondent or respondent firm’ to mirror the language of the Disciplinary Bye-laws (DBLs) and capture other categories of respondent. |
| 8 | Reference to ‘Secretary’ has been replaced by ‘PCD Committee Secretary’ in this and subsequent regulations for completeness. |
| 10 and 11 | References to ‘member, firm or provisional member’ in these and subsequent regulations have been replaced with ‘respondent or respondent firm’ to mirror the language of the DBLs and capture other categories of respondent;References to ‘staff’ have been replaced with ‘PCD staff’ for completeness. |
| 12 | References to ‘director’ and ‘staff’ have been replaced respectively with ‘PCD director’ and ‘PCD staff’ for completeness; References to ‘member, member firm, regulated firm or provisional member’ have been replaced with ‘respondent or respondent firm’ to mirror the language of the DBLs and capture other categories of respondent. |
| 13 | Minor drafting amendments to the regulation have been made for clarity; Reference to ‘firm, provisional member or member’ has been replaced with ‘respondent or respondent firm’.  |
| 13A and 13B | New regulations inserted to clarify the procedure to apply in cases where complaints are pleaded in the alternative and comprise multiple parts. |
| 13C | Reference to ‘Committee Secretary’ has been replaced with ‘PCD Committee Secretary’.  |
| 14 and 15 | References to ‘director’ have been replaced with ‘PCD director’ for completeness. |
| 16 | Reference to ‘Designated Professional Body Handbook’ has been replaced with ‘Designated Professional Body (Investment Business) Handbook. |
| 17 | Regulation 17 has been deleted and the subsequent regulations have been re-numbered accordingly.  |
| (Renumbered) 17 | Reference to ‘Committee Secretary’ has been replaced with ‘PCD Committee Secretary’.  |
| (Former) 19 | Former regulation 19 has been deleted. |
| (Renumbered) 18 | References to ‘Committee Secretary’ have been replaced with ‘PCD Committee Secretary’. |
| (Renumbered) 20 | Reference to ‘member, provisional member or firm’ has been replaced with ‘respondent or respondent firm’. Cross-reference to former regulation 19 has been deleted. |
| (Renumbered) 21 | Reference to ICAEW’s Fee Arbitration Scheme in paragraph b) has been deleted. |
| (Renumbered 22) | Reference to ‘member’ has been replaced with ‘respondent / respondent firm’. Reference to ‘director’ has been replaced with ‘PCD director’.  |
| (Renumbered) 23 | Minor drafting amendments and paragraph a) concerning the quorum requirements for the committee for complaints arising under the Probate Regulations has been deleted.  |
| Regulation 24 | New regulation governing the length of time consent orders shall remain published on ICAEW’s website.  |
| Schedules I and II | Deleted |

31.05.18