

Probate monitoring 2021

PROBATE ACCREDITED FIRMS

as @ 31.12.21

Active - 356 (2020: 339)

Accreditations in the year - 41 (2020: 38)

Cessations in the year - 24 (2020: 14)

CESSATIONS

Restructured - 11 (2020: 9)

No longer requires accreditation / no probate work - 10 (2020: 3)

Firm ceased - 3 (2020: 2)

VISIT APPROACH

We aim to visit firms within the first 24 months of accreditation. However, if they have not done any probate work by that date we extend the cut off to 30 months. Of the firms visited in 2021, 7 (2020: 2) were outside of this target due to the deferral of visits caused by the impact of COVID-19.

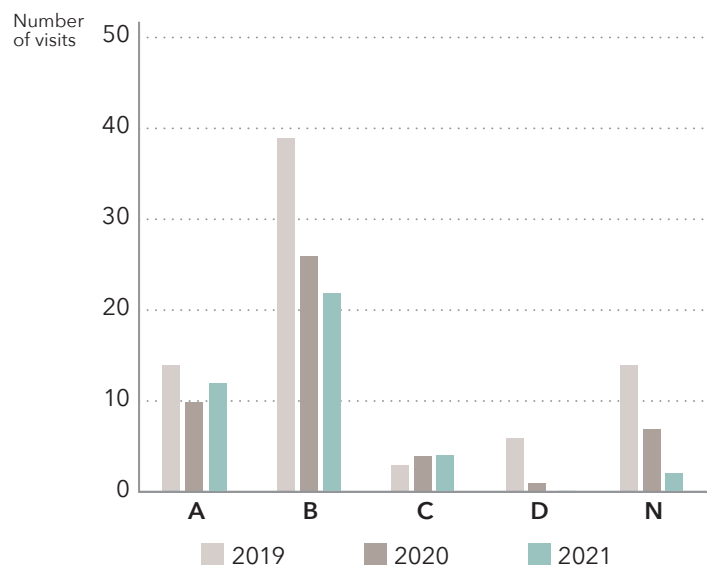
VISIT NUMBERS

2021 40 (3.2% of PA visits undertaken)

2020 48 (2.9%)

2019 76 (3.6%)

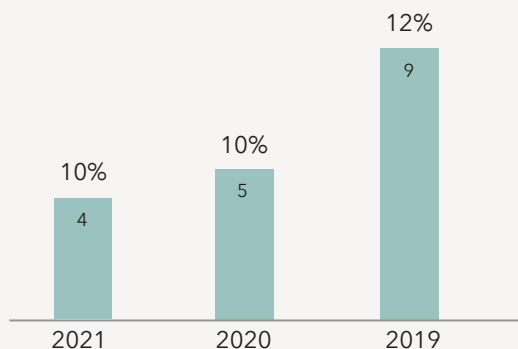
VISIT OUTCOMES



VISIT GRADES

- A No instances of non-compliance
- B Some non-compliance but the firm's responses address the matters raised
- C Some follow-up action needed
- D Detailed report to Probate Committee
- N Firm doesn't have any probate work

% OF VISITS REQUIRING FOLLOW-UP



2021 MATTERS REQUIRING FOLLOW-UP

