

On 28 February 2019 the Probate Committee ordered:

Alliotts
Friary Court
13-21 High Street
Guildford
Surrey
GU1 3DL

to pay a regulatory penalty of £1,500 for the firm's failure to notify ICAEW within 10 business days of changes to the structure of the firm that affected non-authorised owner requirements under regulations 6.2 and 2.7I of the *Probate Regulations*.

On 28 June 2018 the Probate Committee ordered:

CHJ Probates Ltd
34 Longcrofte Road
EDGWARE
MIDDLESEX
HA8 6RR

to pay a regulatory penalty¹ of £1000 for failure to provide diversity data, in accordance with Regulation 2.7(s) of the Probate Regulations

On 28 June 2018 the Probate Committee ordered:

DHB Accountants Limited
110 Whitchurch Road
CARDIFF
CF14 3LY

to pay a regulatory penalty² of £500 for failure to provide diversity data, in accordance with Regulation 2.7(s) of the Probate Regulations

On 20 October 2017, the Probate Committee ordered:

Duncan & Toplis Probate Services Limited
3 Castlegate
Grantham
Lincolnshire
NG31 6SF

to be reprimanded and pay a regulatory penalty³ of £500 for failure to apply for affiliate status of an individual held out as a principal of the firm in accordance with the requirements of Regulation 2.4f.

On 16 February 2017 the Probate Committee ordered:

In view of the firm's admitted breach of Probate Regulation 2.2a, Clarke Bell Limited, 3rd Floor, The Pinnacle, 73 King Street, Manchester, M2 4NG, has agreed to pay a regulatory charge (determined by the Probate Committee) of £1,590 for admitted breach of the eligibility requirements (regulation 2.2) in accordance with regulation 12.7 of the Probate Regulations

On 28 February 2019 the Probate Committee ordered:

Fairman Harris
Third Floor North
224-236 Walworth Road
LONDON
SE17 1JE

to pay a regulatory penalty of £1,000 for breach of Regulations 2.7b, 2.7f, 2.7g and 2.7j of the *Probate Regulations*.

On 24 April 2018 the Probate Committee ordered:

Garbutt & Elliott LLP
33 Park Place
Leeds
West Yorkshire
LS1 2RY

to pay a regulatory penalty⁴ of £1,000 for its failure to notify the ICAEW within 10 business days of multiple appointments of individuals held out as principals, in breach of Regulation 2.7 of the *Probate Regulations*.

On 28 June 2018 the Probate Committee ordered:

H Miller Limited
Pilgrim House
Packhorse Road
GERRARDS CROSS
BUCKINGHAMSHIRE
SL9 7QE

to pay a regulatory penalty⁵ of £1000 for failure to provide diversity data, in accordance with Regulation 2.7(s) of the Probate Regulations

On 28 June 2018 the Probate Committee ordered:

Kingett's
Carolyn House
5 Dudley Road
HALESOWEN
B63 3LS

to pay a regulatory penalty⁶ of £500 for failure to provide diversity data, in accordance with Regulation 2.7(s) of the Probate Regulations

On 24 April 2018, the Probate Committee ordered:

Reid York Ltd
96 Castle Lane West
Bournemouth
Dorset
BH9 3JU

to pay a regulatory penalty⁷ of £500 for undertaking work as an authorised probate firm under Probate Regulation 2.2a of the *Probate Regulations*, when it was ineligible to do so.

On 28 June 2018 the Probate Committee ordered:

ThorntonRones Ltd
311 High Road
LOUGHTON
ESSEX
IG10 1AH

to pay a regulatory penalty⁸ of £1000 for failure to provide diversity data, in accordance with Regulation 2.7(s) of the Probate Regulations

On 28 February 2019 the Probate Committee ordered:

Price Pearson Limited
Finch House
28-30 Wolverhampton Street
DUDLEY
DY1 1DB

to pay a regulatory penalty of £1,000 for failing to ensure that the relevant principals held affiliate status in breach of Regulation 2.4f of the *Probate Regulations*.
